

To: Faculty, Staff and Graduate Students

From: Dr. Russell Moore, Provost and Executive Vice Chancellor for Academic Affairs
Dr. Terri Fiez, Vice Chancellor for Research & Innovation

Date: January 31, 2019

Subject: Disclosure and reporting requirements related to foreign support of research

CU Boulder would like to acknowledge and thank Purdue University for allowing us to adapt their statement on this topic: <https://www.purdue.edu/business/sps/data/disclosure.html>.

The University of Colorado Boulder is focused on creating global impact by cultivating and supporting collaboration, transformation and leadership. At the heart of this focus are the diverse contributions of a wide range of world-class experts, working together to accelerate ideas throughout the entire innovation lifecycle. These contributions include many important collaborations with foreign students, faculty, researchers, companies and other entities; this guidance does not diminish in any way the value of those relationships.

Recently, the Director of the National Institutes of Health (NIH), Francis Collins, issued a “[Foreign Influence Letter to Grantees](#)” and testified to the Senate Health, Education, Labor and Pensions Committee regarding concerns about systematic programs of foreign influence in U.S. research. Dr. Collins reminded the research community to “disclose all forms of other support and financial interests, including support coming from foreign governments or other foreign entities...in accordance with the NIH Grants Policy Statement, [on] all applications and progress reports” and indicated that NIH’s Office of Extramural Research (OER) will be providing additional information in the future.

As NIH and other federal agencies and government officials finalize further guidance involving international collaboration, CU Boulder would like to remind our research community of our duty to uphold responsible conduct of research. In line with the applicable laws and regulations, CU Boulder has established policies and procedures that ensure compliance, while promoting openness in research and

CU Boulder may also restrict funding or participation with additional parties, based on emerging legislative or administrative guidance. Currently, CU Boulder will not accept funding (grants, contracts or gifts) from Huawei Technologies and their affiliates. This position is founded upon a _____
